Spontaneous Glass Breakage: Why it happens and what to do about it

by Michael L. Rupert

The past few years have seen several highly publicized incidents involving window and balcony glass breaking spontaneously and falling from high-rise buildings in Toronto, Chicago, Las Vegas, and Austin, Texas. While such episodes are rare, the danger they pose has forced building code writers, architects, government officials, and related industry professionals to reconsider which types of glass should be specified for glass applications where strength and protection of passers-by are paramount.

For architects and specifiers, it is important to have an overview on the potential causes of spontaneous glass breakage, including some common misconceptions about its actual spontaneity. The term ‘safety glazing’ generally refers to any type of glass engineered to reduce the potential for serious injury when it comes into human contact. In addition to balcony glass, safety glazings are commonly required for:

- sliding glass doors;
- shower doors;
- patio furniture;
- skylights;
- oven glass; and
- automobile windshields.

Tempered, laminated, and heat-strengthened glass

The most common type of safety glass is tempered glass, which is made by heating pre-cut panels of glass to about 650 C (1200 F), then cooling them rapidly through a process called ‘quenching.’ By cooling the outer surfaces of the panel more quickly than the center, quenching puts the surfaces and edges of the glass in compression and the center of the glass in tension.
In addition to making tempered glass four to five times stronger than conventional annealed glass, re-heating and rapid quenching dramatically changes the break characteristics of the glass. Consequently, when tempered glass is broken, it shatters into thousands of tiny pebbles—this practically eliminates the danger of human injury caused by sharp edges and flying shards.

Another type of safety glazing, laminated glass, is made by sandwiching an interlayer of vinyl (typically polyvinyl butyral [PVB]) between two layers of glass to hold the panel together if it is broken. Although laminated glass is most commonly associated with windshield glass for automobiles, it is increasingly being specified for storefronts, curtain walls, and windows to meet codes for hurricane-resistant glass.

A third option, heat-strengthened glass, is not technically a safety glazing. This is because when it breaks, it may form larger sharp shards that can cause serious injury. However, heat-strengthened glass still meets Consumer Product Safety Commission (CPSC) 16 Code of Federal Regulations (CFR) Part 1201 and Class A of American National Standards Institute (ANSI) Z97.1, Safety Glazing Materials Used in Buildings?Safety Performance Specifications and Methods of Test, for many safety glass applications when it is combined with a laminated interlayer that holds the glass together if it breaks.

As with tempering, heat-strengthening involves exposing pre-cut glass panels to temperatures of up to 650 C, but with a slower cooling process. Heat-strengthened glass is not as strong as tempered glass because the compression strength is lower—about 24,130 to 51,710 kPa (3500 to 7500 psi) compared to 68,950 kPa (10,000 psi) or greater. However, it is about twice as strong as annealed glass. For this reason, heat-strengthened glass is often specified for applications demanding resistance to thermal stress and snow- and wind-loads.

**Causes of glass breakage**
The incidents of spontaneous glass breakage in Chicago, Las Vegas, Austin, Texas, and Toronto occurred exclusively with tempered glass. Despite that material’s high levels of strength and capacity to meet safety glazing requirements, it is uniquely vulnerable to these types of failures. Ironically, the center tension zone engineered into tempered glass through the quenching process is also what makes it so vulnerable to catastrophic breakage.

Safety glazings are commonly required for sliding glass doors, shower doors, and patio furniture. ‘Safety glazing’ generally refers to any type of glass that is engineered to reduce the potential for serious injury.

**Poor edge quality**
There are many potential causes for spontaneous breakage of tempered glass. The most common is damage to the edges of glass as it is being pre-cut into panels, or nicks or chips to the edges that occur when the glass is being packaged, shipped, or installed onsite.
While such damage may not be readily apparent, stress concentrations around these imperfections can occur as the glass expands and contracts in response to in-service temperature changes, wind load, building movement, and other environmental factors. Ultimately, when those stresses cause the glass to break, the action may appear to have been spontaneous when, in fact, the circumstances for failure had been put in place months or even years earlier.

Frame-related breakage

Expansion and contraction of glass framing members may also lead to frame-related breakage—another common form of seemingly spontaneous failure. Such incidents occur when the gaskets, setting blocks, or edge blocks in a metal window or curtain wall frame are missing or do not sufficiently cushion the glass against glass-to-metal contact caused by temperature or wind-related movement. This can cause edge and surface damage to the glass as it comes in contact with the metal frame's perimeter, producing stresses that eventually lead it to fail for no apparent reason.

Thermal stress

Another potential cause of spontaneous glass breakage is thermal stress. Thermally induced stresses in glass are caused by a positive temperature difference between the center and edge of the glass lite, meaning the former is hotter than the latter. The expansion of the heated glass center results in tensile stress at the edge of the glass. If the thermally induced stress exceeds the edge strength of the glass, breakage occurs.

Accounting for thermal stress is especially critical today, as current design trends and the desire for daylighting are driving the industry toward the specification of larger insulating glass units (IGUs) with high-performance solar control coatings. Large IGUs have inherently greater glass surface and edge areas. When they are combined with coatings designed to manage the sun’s energy, more rigorous thermal stress analyses are required.

Nickel-sulfide inclusions

A far less common—but often cited—cause of spontaneous glass breakage is nickel-sulfide (NiS) inclusions in tempered glass. Small nickel-sulfide stones can form randomly in the production of float glass. They are typically benign, even when occurring in tempered glass.

North American glass manufacturers do not use nickel in batch formulations for primary glass and go to great lengths to avoid nickel-bearing components in their glass-melting processes. Despite rigorous quality controls and procedures aimed at reducing the likelihood of nickel-sulfide stones, there is no technology to completely eliminate their formation in today’s float glass.
Nickel-sulfide particles are tiny, extremely rare, and only found randomly in float glass. This combination makes visual inspection for such inclusions highly impractical—if not outright impossible.

There is no known technology that completely eliminates the possible formation of nickel sulfide stones in float glass. Further, because nickel sulfide stones are so small, there is no practical way to inspect their presence in float glass.

Nickel-sulfide stones are quite small and their occurrence in the final glass product is covered under ASTM C1036, *Standard Specification for Flat Glass*, which permits blemishes (including nickel-sulfide particles) of between 0.5 and 2.5 mm (1/50 to 1/10 in.) in float glass, depending on glass size and quality.

While nickel-sulfide inclusions may be present in annealed or heat-strengthened glass, the problems they cause are specific to tempered glass because of the tempering process. Breakage is due to a volumetric growth in the size of the stone. As detailed earlier, during the annealing and heat-strengthening processes, glass is cooled at slower, controlled temperatures that enable nickel-sulfide particles that are present to complete a phase transformation (known as the \( \beta \) to \( \alpha \) phase change) during which they fully expand to their final size and remain stable thereafter.

In the tempering process, this phase transformation is arrested during rapid quenching, which causes any nickel-sulfide particles present to remain confined to their shrunken, pre-transformation states. Then, when the tempered glass is exposed to higher in-service temperatures caused by solar heat gain or other high-temperature influences, nickel-sulfide particles have the potential to resume their volumetric growth. If the expansion is large enough—and the particle is located in the center tension zone of the tempered glass panel—the resulting stress may be enough to shatter the glass.

**Is heat-soaking a solution?**

As indicated, nickel-sulfide particles are tiny, extremely rare, and only found randomly in float glass. This combination makes visual inspection for such inclusions highly impractical, if not impossible. For that reason, some glass fabricators and glazing contractors offer heat-soaking of tempered glass as a potential solution for minimizing the risk of spontaneous glass breakage.
When tempered glass is broken (as shown above), it shatters into thousands of tiny pebbles, practically eliminating the danger of human injury caused by sharp edges and flying shards of glass.

The surface compression of heat-strengthened glass makes it approximately twice as strong as annealed glass. Heat-strengthened glass is typically used when glass is required to meet thermal or mechanical loads caused by heat, wind, or snow.

In this procedure, the glass supplier exposes an entire lot or statistical sampling of tempered glass panels to temperatures of 288 to 316 C (550 to 600 F) for two to four hours. The goal is to initiate or accelerate the phase change of any nickel-sulfide inclusions that may be present and to cause the glass to break before it is shipped to the end customer.

While this ‘break-now-instead-of-later’ procedure may eliminate defective tempered glass panels by destroying them before they are shipped, it cannot provide a 100 percent guarantee against spontaneous breakage. Even advocates of heat-soaking are careful to state the procedure can only reduce or minimize the risk for spontaneous breakage of tempered glass. They will not use words such as ‘prevent’ or ‘guarantee.’

Risks of heat-soaking
There are risks associated with the heat-soak procedure that may outweigh any perceived benefits. For instance, small, stable inclusions could undergo the beginning of a phase change during the heat-soak. While the phase change may not be sufficient to cause breakage during the procedure, the transformation could potentially continue after the glass is installed, causing it to break in-service.
Re-exposing tempered glass to the increased temperatures of heat-soaking also has the potential to reduce its surface compression, which is the source of its strength. Ultimately, this may undermine the glass’s ability to fulfill the safety or strength requirements for which it was intended.

Further, heat-soaking adds another layer of handling to the manufacturing process, which creates more opportunities for edge damage, scratches or color changes to the low-e coating, and other imperfections that could have an impact on the tempered glass unit’s long-term durability and performance.

As these illustrations demonstrate, the heat-strengthened glass and tempered glass have distinctive breakage patterns.

**Solutions for safety**

In recent months, two organizations made major announcements prompted largely in response to the incidents of falling glass in Toronto, Chicago, Las Vegas, and elsewhere. Both shared a common assessment—namely, that using laminated tempered glass or heat-strengthened glass is the most viable solution to making balcony and other types of overhead glass safer.

In Canada, an Expert Panel on Glass Panels in Balcony Guards established by the Ontario Ministry of Municipal Affairs and Housing (MAH) recommended local building codes be amended to mandate the use of heat-strengthened laminated glass for any outboard guard or glazing located beyond the edge of a floor, or within 50 mm (2 in.) of the edge of a floor. For outboard glazings located more than 50 mm inward from the edge, the panel recommended heat-soaked tempered glass or heat-strengthened laminated glass.

Similarly, in December 2012, the International Code Council (ICC) passed a code change proposed by the Glazing Industry Code Committee (GICC), mandating use of laminated glass in handrail assemblies, guardrails, or guard sections. The newly approved code states laminated glass must be constructed of either single fully tempered glass, laminated fully tempered glass, or laminated heat-strengthened glass, and
Fully tempered glass and heat-strengthened glass are made using the same basic heating and quenching process.

**Conclusion**
Given the developments and recommendations outlined in this article, it is clear a laminated glass interlayer in combination with tempered or heat-strengthened glass may offer the optimal blend of characteristics for applications where the risk of injury from glass fallout is a primary concern. For non-safety glass applications, where strength and resistance to spontaneous breakage is desired, non-laminated heat-strengthened glass should be considered due to its lower costs.

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